



Education Health and Care needs assessment for children in early years settings or at school

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Summary

This information is about Education, Health and Care (EHC) needs assessment, which is sometimes called statutory assessment. It covers:

- children in early years settings
- children of school age.

You might also like to look at our factsheet on 'Education, Health and Care plans'

What is an EHC needs assessment?

An EHC needs assessment is a detailed look at a child's special educational needs (SEN) and the support he or she may need in order to learn.

Local authorities are responsible for carrying out EHC needs assessments under the **Children and Families Act 2014**.

The needs assessment brings together information about:



-
- what your child can and cannot do
 - the special help they need.

It includes information from:

- you
- your child
- the early years' setting or school
- other professionals who work with or support your child.

The assessment is to see if your child needs an **Education, Health and Care plan (EHC plan)**.

When is an EHC needs assessment necessary?

The school or early years setting can often give your child help through **SEN support**. This means that the school makes additional or different provision to meet their needs. Sometimes other professionals will give advice or support to help your child learn.

Some children need more intensive and specialist help. If your child does not make progress despite the SEN support an EHC needs assessment might be the next step.

The **SEND Code of Practice** says:

In considering whether an EHC needs assessment is necessary, the local authority should consider whether there is evidence that despite the early years provider, school or post-16 institution having taken relevant and purposeful action to identify, assess and meet the special educational needs of the child or young person, the child or young person has not made expected progress.
(Section 9 Paragraph 14)

The local authority will look at:

- your child's attainments and rate of progress
- their special educational needs
- what has already been done
- the difference that support has made
- your child's physical, emotional and social development and health needs.



The **SEND Code of Practice** says:

A local authority must conduct an assessment of education, health and care needs when it considers that it may be necessary for special educational provision to be made for the child or young person in accordance with an EHC plan. (Section 9 Paragraph 3)

Some children and young people will have needs that clearly require an EHC needs assessment and EHC plan. Once the local authority is aware that this is the case it should start the process without delay.

You can find out more about the criteria that local authorities should follow in the SEND Code of Practice Section 9 Paragraph 3 and Section 9 Paragraph 14 to Section 9 Paragraph 16.

Who can ask for an EHC needs assessment?

- parents – by writing to the local authority
- a young person over the age of 16 but under the age of 25
- the early years setting or school, but only after talking with you first.

It is always a good idea to talk to the school or early years setting before asking for an EHC needs assessment.

Herefordshire and Worcestershire SEND Information, Advice & Support Service (SENDIASS) can help you make your request.

Other people, such as your doctor or a health visitor, can tell the local authority that they think your child needs an assessment.

What happens when the local authority gets a request for an EHC needs assessment?

As soon as the local authority gets a request for an EHC needs assessment they must tell you about it.

The SEND Code of Practice says:

In considering whether an EHC needs assessment is necessary, local authorities should pay particular attention to the views, wishes and feelings of the child and his or her parent, or the young person. (Section 9 Paragraph 12)



The local authority has up to **six weeks** to decide whether to make a needs assessment. During this time it may ask you, the school and other professionals for information.

It will look at all the information and must then tell you whether it has decided:

to start the EHC needs assessment immediately

or

that an EHC needs assessment is not necessary.

What happens if the local authority decides that an EHC needs assessment is not necessary?

The local authority **must** tell you why it thinks that an EHC needs assessment is not needed. It **must** also tell you about:

- your right of appeal
- independent **disagreement resolution** and **mediation**
- how to get further information, advice or support.

If you disagree with the decision, Herefordshire and Worcestershire SEND Information, Advice & Support Service (SENDIASS) can explain your options to you.

What happens if the EHC needs assessment goes ahead?

The SEND Code of Practice says:

Local authorities must consult the child and the child's parent or the young person throughout the process of assessment and production of an EHC plan. They should also involve the child as far as possible in this process. The needs of the individual child and young person should sit at the heart of the assessment and planning process. (Section 9 Paragraph 21)

The local authority will write to you to tell you what will happen and ask for your views. Your views and your child's views are really important. Herefordshire and Worcestershire SEND Information, Advice & Support Services (SENDIASS) can give you information, advice and support to help you with this.

The local authority will ask a number of other people for information about your child. This is called 'advice' and it should include information about:

- your child's education, health and care needs
- the desired **outcomes** for your child



- the special educational, health and care provision that might be required to meet their needs and achieve the desired outcomes.

The local authority **must** ask for advice and information from:

- parents (or the young person)
- your child's early years setting or school
- an educational psychologist
- health professionals who work with your child. This might include a paediatrician, speech and language therapist, physiotherapist or occupational therapist.
- social care staff
- anyone else you ask them to contact who may be able to give relevant advice.

If your child has a vision or hearing impairment the local authority must also seek information and advice from a suitably qualified teacher.

The local authority should also try to find out your child's views. You, the school and other professionals may be able to help with this. If you think your child needs someone to help them give their views you can ask Herefordshire and Worcestershire SEND Information, Advice & Support Service (SENDIASS) for more information about this.

You will have the chance to discuss your child with everyone involved in the needs assessment and you will receive a copy of all the reports when the needs assessment is finished.

You can find out more about advice and information for EHC needs assessments in the SEND Code of Practice Section 9 Paragraph 45 – Section 9 Paragraph 52.

What if some of this advice is already available?

Sometimes advice and information is already available because other professionals have been working with your child.

The **SEND Code of Practice** says:

*The local authority **must not** seek further advice if such advice has already been provided (for any purpose) and the person providing the advice, the local authority and the child's parent or the young person are all satisfied that it is sufficient for the assessment process. In making this decision, the local authority and the person providing the advice should ensure the advice remains current. (Section 9 Paragraph 47)*



When does the EHC needs assessment end?

Once the local authority has all the information and advice it must decide whether your child needs an **Education, Health and Care plan (EHC plan)**.

An EHC plan is a legal document written by the local authority. It describes the special educational needs that a child or young person has and the help that they will be given to meet them. It also includes the health and care provision that is needed. You can read more about EHC plans in our 'Education Health and Care plans' factsheet.

Sometimes the local authority will decide that your child has special educational needs that can be met through **SEN support**. If this is the case the local authority must tell you of its decision within **16 weeks** of receiving a request for an EHC needs assessment. The local authority must also tell you about your right of appeal.

If the local authority decides an EHC plan is necessary it must first write a draft plan. It will send you the draft EHC plan and copies of the reports so that you can read it all. You should check that everything you think is important has been included and that you agree with the outcomes and the proposed provision. The local authority will also ask you which school you would prefer your child to go to.

You have **15 days** to make comments, to ask for a meeting or accept the draft plan.

Note that if you do not reply within **15 days** the local authority may assume that you agree with the draft plan.

The last stage is for the local authority to send you the final EHC plan. If you are still unhappy with the plan or cannot agree with the local authority on a school, you have a right to go to mediation and/or to appeal (see below).

If you need help at any stage you can contact Herefordshire and Worcestershire SEND Information, Advice & Support Service (SENDIASS).

How long does all this take?

The local authority receives a request for an EHC needs assessment. The authority must tell parents about this request	This is the start date
The local authority decides whether an EHC needs assessment is needed. The authority must tell parents about its decision	Within six weeks of the start date
The EHC needs assessment takes place	This starts as soon as the decision is made



<i>EITHER</i>	
The local authority tells the parents of the decision not to issue an EHC plan	Within 16 weeks of the start date
<i>OR</i>	
The local authority sends a draft EHC plan to parents	
Parents must respond to the draft EHC plan. They can: <ul style="list-style-type: none"> • agree that the draft is accurate • ask for changes • ask for a meeting. Parents also state a preference for a school or early years setting	Within 15 days of receiving the draft EHC plan
The local authority consults with the school or early years setting	Within 15 days of parents' response to the draft EHC plan
The local authority issues the final EHC plan	Within 20 weeks of the start date

Sometimes this timescale can be different. See SEND Code of Practice Section 9 Paragraph 42.

What if I do not agree with the local authority about the EHC needs assessment or the EHC plan?

At any stage you can ask to talk to a member of the local authority's staff. This will usually be the person named in the letter the local authority sends to you when it receives a request for an EHC needs assessment.

Herefordshire and Worcestershire SEND Information, Advice & Support Service (SENDIASS) can give you impartial information and advice about the options open to you, and support you through the process.

You also have a right to request independent **disagreement resolution** and, in some circumstances, **mediation**. You can find out more about disagreement resolution and mediation in our leaflet 'What if I do not agree?' and in the SEND Code of Practice Chapter 9.

Where can I get more information, advice or support?

You can read about Education, Health and Care needs assessments in the SEND Code of Practice Chapter 9.



The [Herefordshire Local Offer](#) and the Worcestershire Local Offer includes more information on the local arrangements for EHC needs assessments.

Herefordshire and Worcestershire SEND Information, Advice & Support Service (SENDIASS) can give you:

- information about EHC needs assessments
- advice and support during the process of assessment
- details of organisations, support groups and services that may be of help
- information, advice and support about your rights to make a complaint, seek independent **disagreement resolution** or **mediation**.

More information can be found on our website:

[WWW.hwsendiass.co.uk](http://www.hwsendiass.co.uk)

and the SEND Code of Practice website:

<https://www.gov.uk/government/publications/send-code-of-practice-0-to-25> Chapter 9.

How do I apply for a needs assessment?

To make a request for an Education, Health Care Needs Assessment you need to write to:

Worcestershire:

The Assessment Team
SEND and vulnerable learners
Education and Early Help
Worcestershire Children First
County Hall
Spetchley Road
Worcester
WR5 2NP

Herefordshire:

Herefordshire SEND Team
Plough Lane
Hereford
Herefordshire
HR4 0LE

In Herefordshire you can use the [Herefordshire Family Conversation](#) as a template and in Worcestershire you can use the [Worcestershire parental request form](#) as a template.

V6 January 2020

Worcestershire:

Tel: 01905 768153

Email: sendiass@worcestershire.gov.uk

www.hwsendiass.co.uk

Herefordshire:

Tel: 01432 260955

Email: sendias@herefordshire.gov.uk



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